

☐ Informal/Formal Declaration(s)/Power ☐ Executed	Provisional  Drawing(s) ( Page(s))  (s) of Attorney ( Page(s))  Unexecuted  w/o Cover Sheet ( Page(s))  49 (_3 Pages)	rk Office imprinted hereon acknowledges the filing of:  □ _1_ Mo. Extension of Time (_1_ Page) □ Amendment/Response Pursuant to 37  CFR §1.111 (_10_ Pages) □ Issue Fee Transmittal (_ Page(s)) □ Check No121468 Amt.: \$ _55.00 □ Check No121165 Amt.: \$ _180.00 □ Other: Declaration Pursuant to 37 CFR  1.131 (3 Pages) □ Other: Exhibit A - (20 pages)
Inventor(s): Guy B. Ir Title: SERVER CHAS METHOD  Client/Applicant: RLX  Mailed: July 19, 2004  Due: June 26, 2004  Atty./Secy.: Pedersen/Rockett	SSIS HARDWARE MASTER	9/848,816 Receipt Date & Serial No.:  SYSTEM AND 067856.0213  JUL 1 9 200

# RECEIVED

JUL 23 2004

1

#### N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Guy B. Irving et al.

Serial No.:

09/848,816

Filing Date:

May 4, 2001

Group Art Unit:

2111

Examiner:

Khanh Nmn Dang

Title:

SERVER CHASSIS HARDWARE MASTER

**SYSTEM AND METHOD** 

#### MAIL STOP AMENDMENT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

#### **DECLARATION PURSUANT TO 37 C.F.R. § 1.131**

I, the undersigned, hereby declare and state that:

- 1. I am over the age of 21 years, of sound mind, and competent in all respects to make this Declaration.
- 2. I am an inventor of the subject matter of the above-referenced patent application, entitled *Server Chassis Hardware Master System and Method*, filed on May 4, 2001 (the "Application").



CATENT APPLICATION 09/848,816

2

- 3. Guy B. Irving and I (the "Inventors") conceived the subject matter of at least Claims 1, 9, 10, 13, and 16 of the Application (the "Invention") prior to July 12, 2000.
- 4. I participated in the design and construction of a prototype that incorporated the subject matter of the Invention. Attached as Exhibit A is the sixth version of a design schematic that was used to construct the prototype.
- 5. During a time period beginning prior to July 12, 2000, and ending upon completion of the prototype, the Inventors worked diligently toward the design and construction of the prototype.
- 6. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true. Further, I declare that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the Application or any patent issuing thereon.

[THE REST OF THE PAGE INTENTIONALLY LEFT BLANK]

3

Declaration pursuant to 37 C.F.R. § 1.131 in regard to 09/848,816.

Signed this 19 day of 2004.

David M. Kirkeby

TENT APPLICATION 09/848,816

4

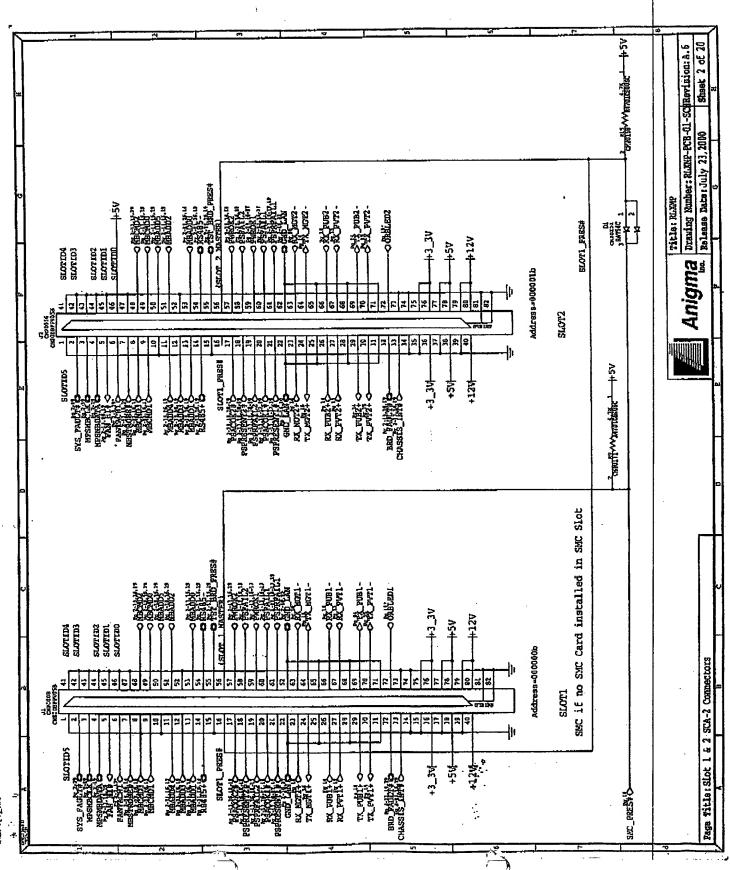
**EXHIBIT A** 

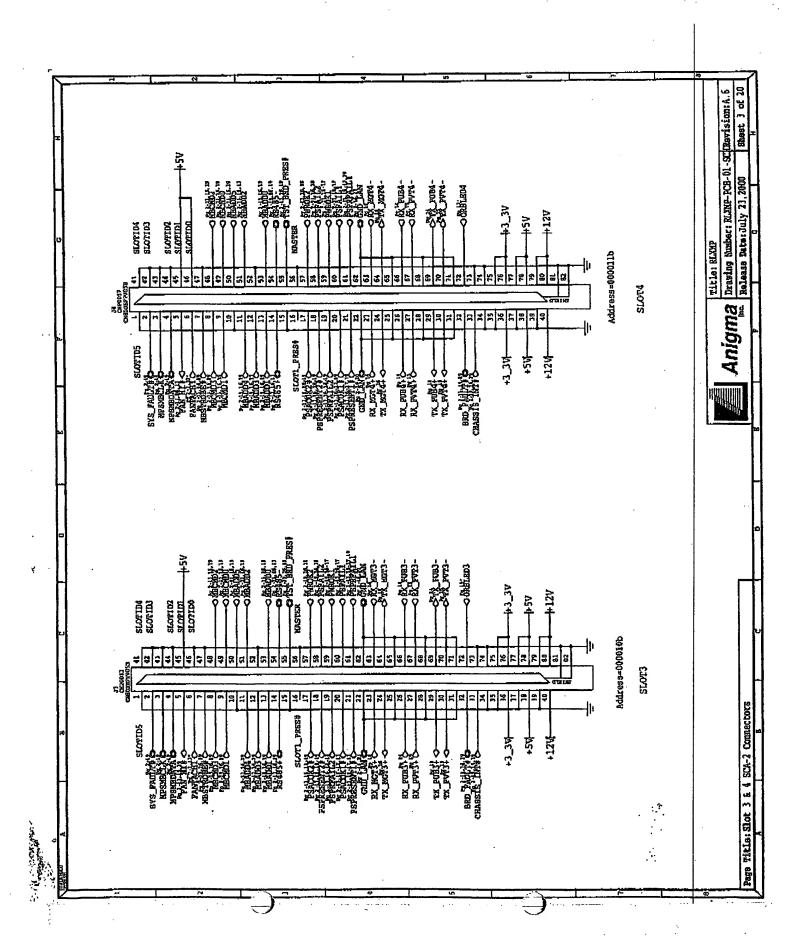
Anigma Drawing Number: KLRNP-FCB-01-SC-[Revision: A.6]
ev. A.6 (000723.2000)
ials, Holes, Spare Gates
Power Supply Connector 2 RIXIED Conn. ATX Conn. Pull-Ups. Decoupling
ξŽ
B Connectors
LXRJ21 Connectors - Public
3 & 24 SCA-2 Co
1 & 22
& 16 SCA-2 (
3 & 1
5 & 6 SCA-2 Connectors
×
Title
+

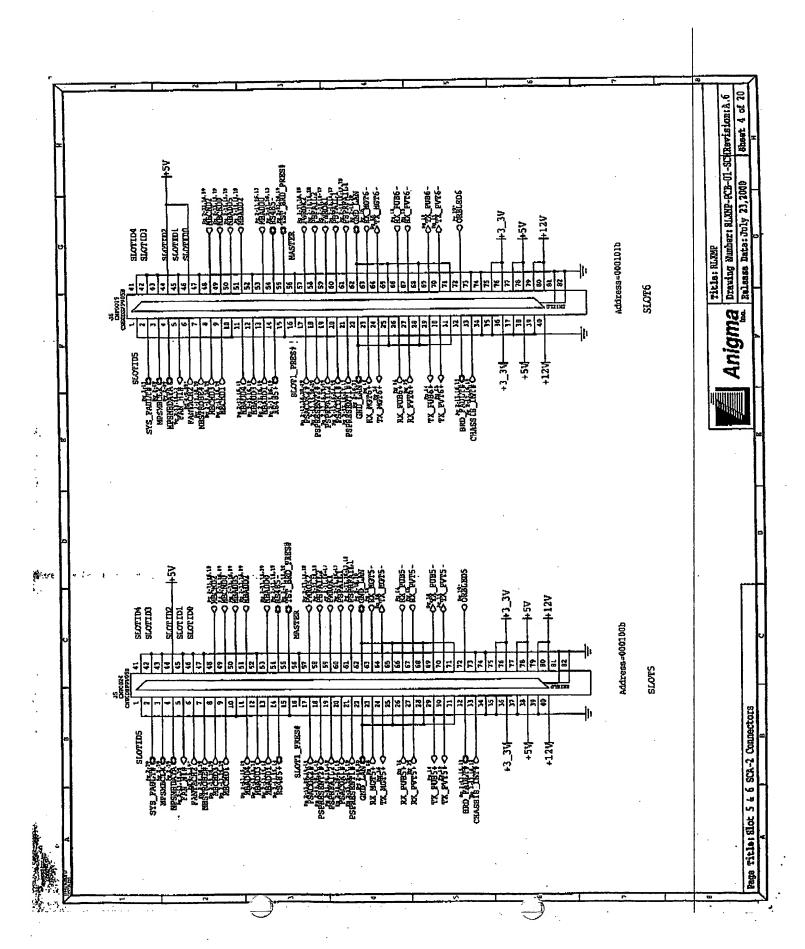
Page fitle: Index

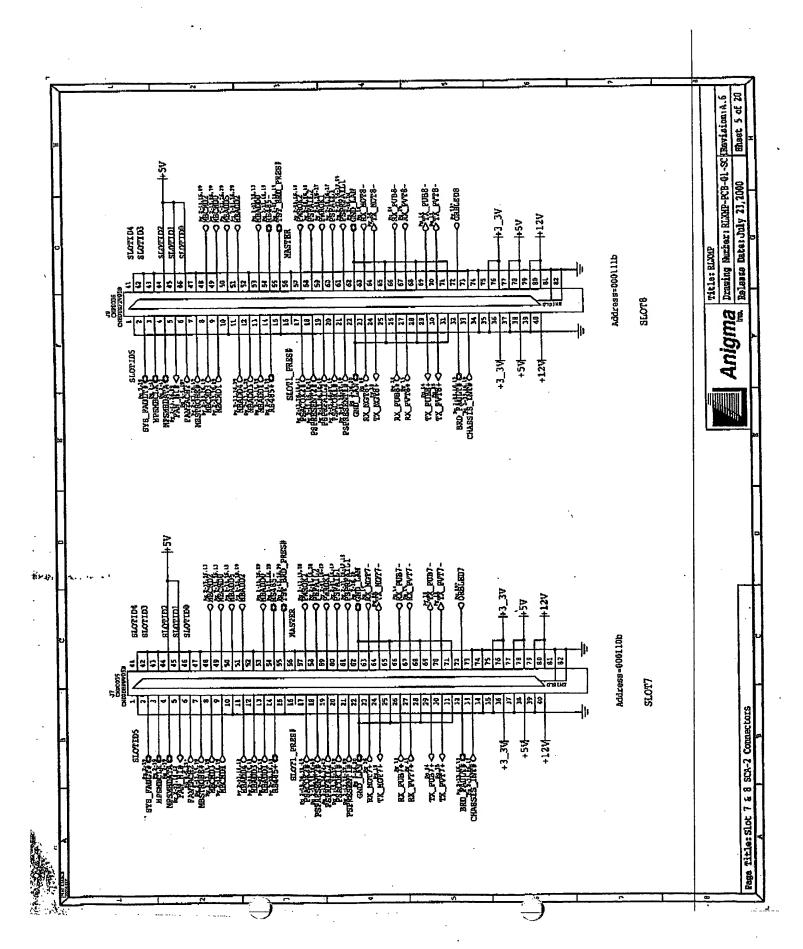
The state of the s

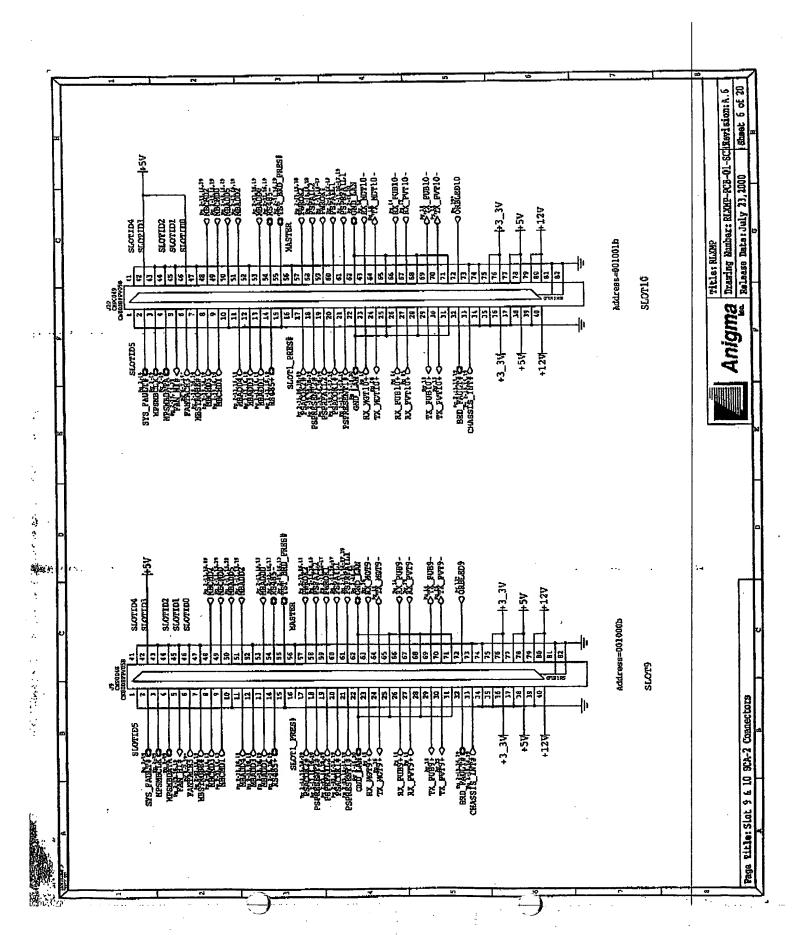
Ŋ

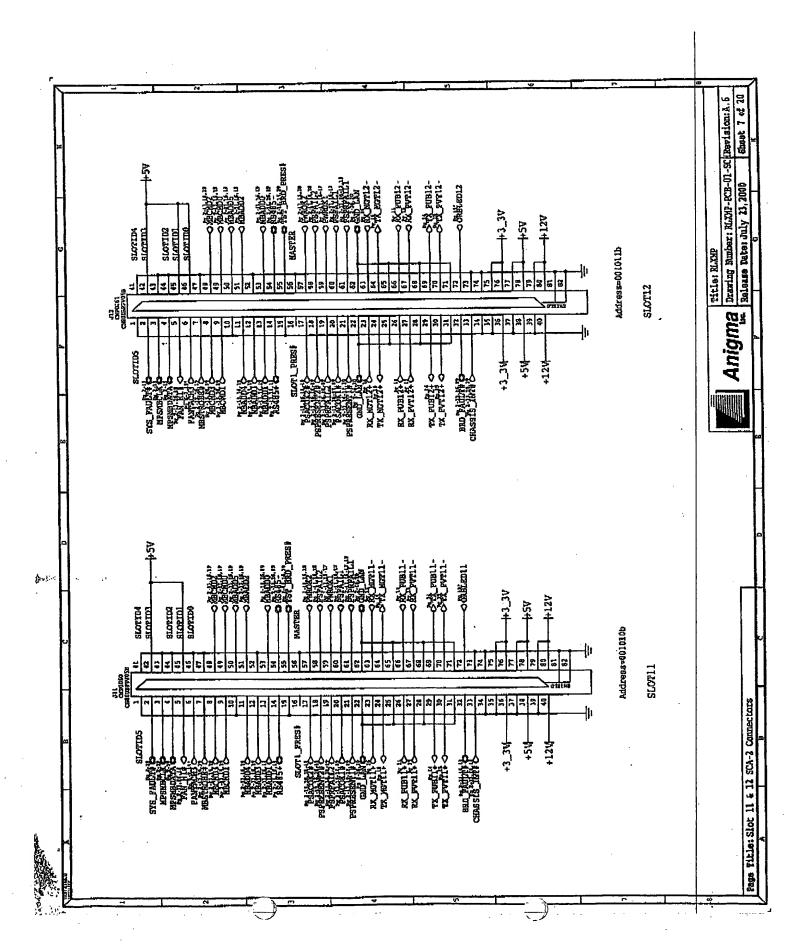


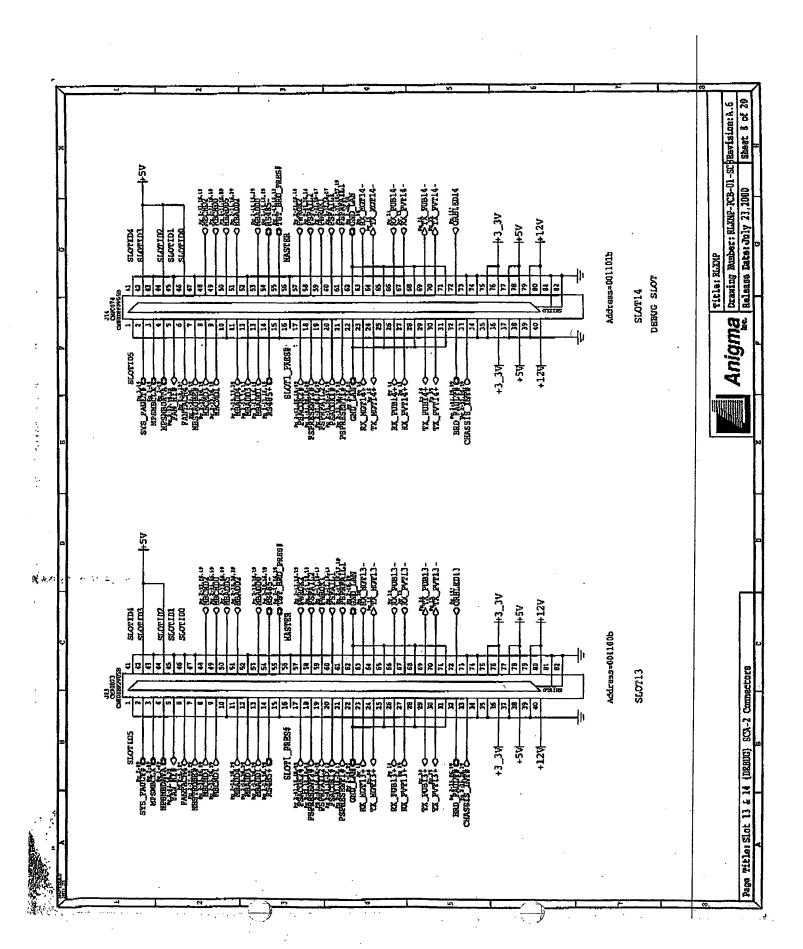


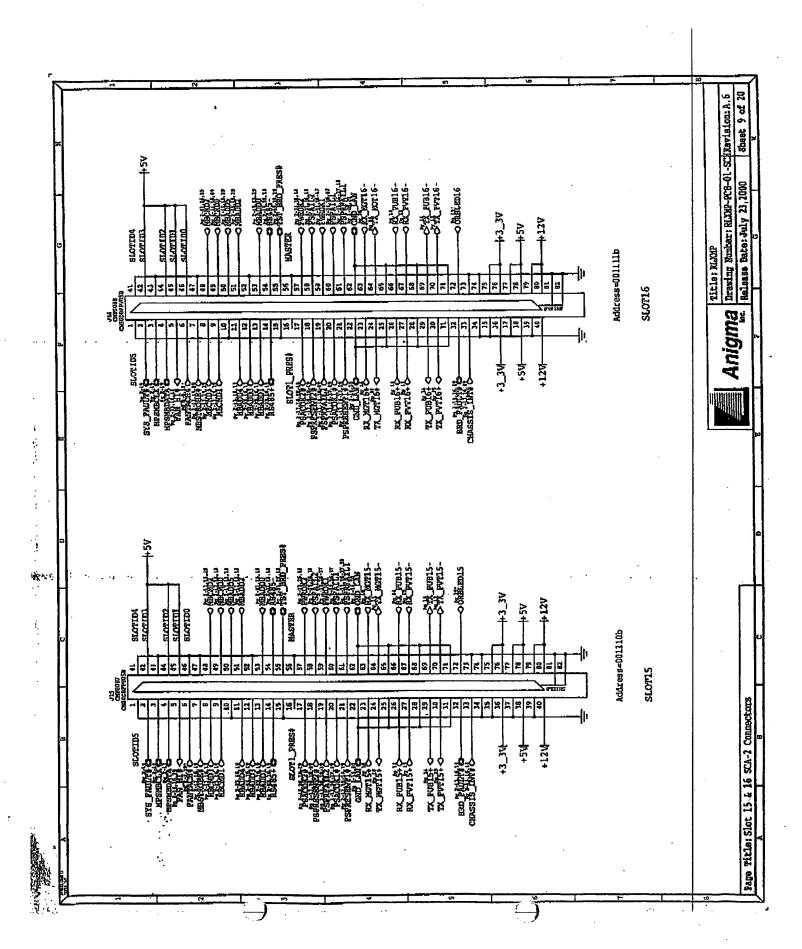


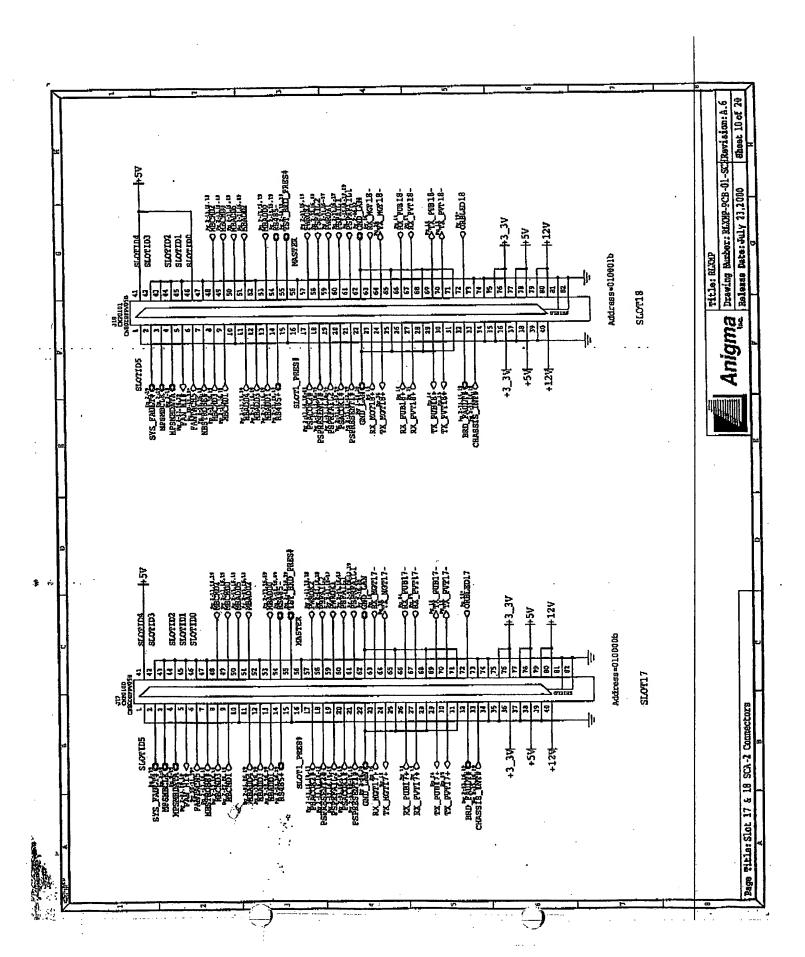


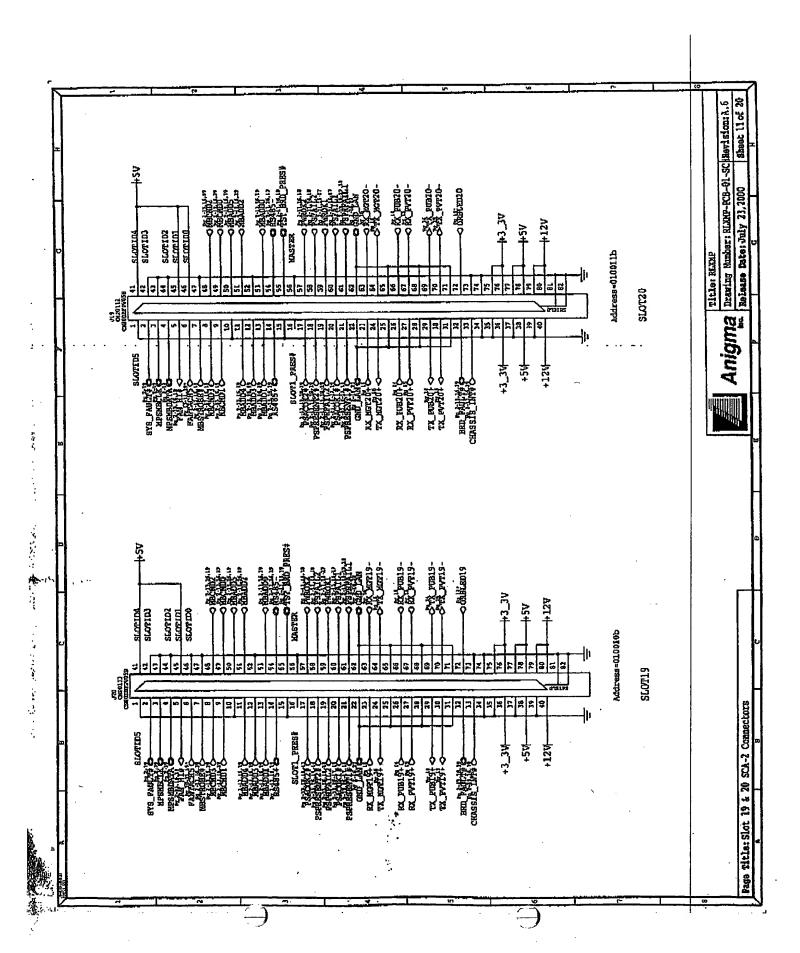


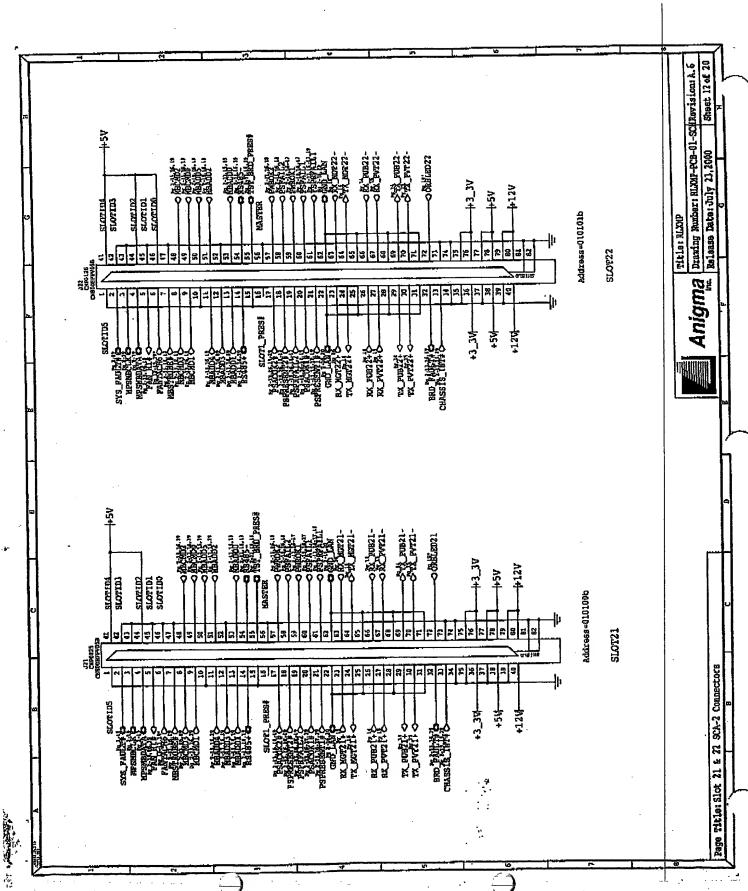


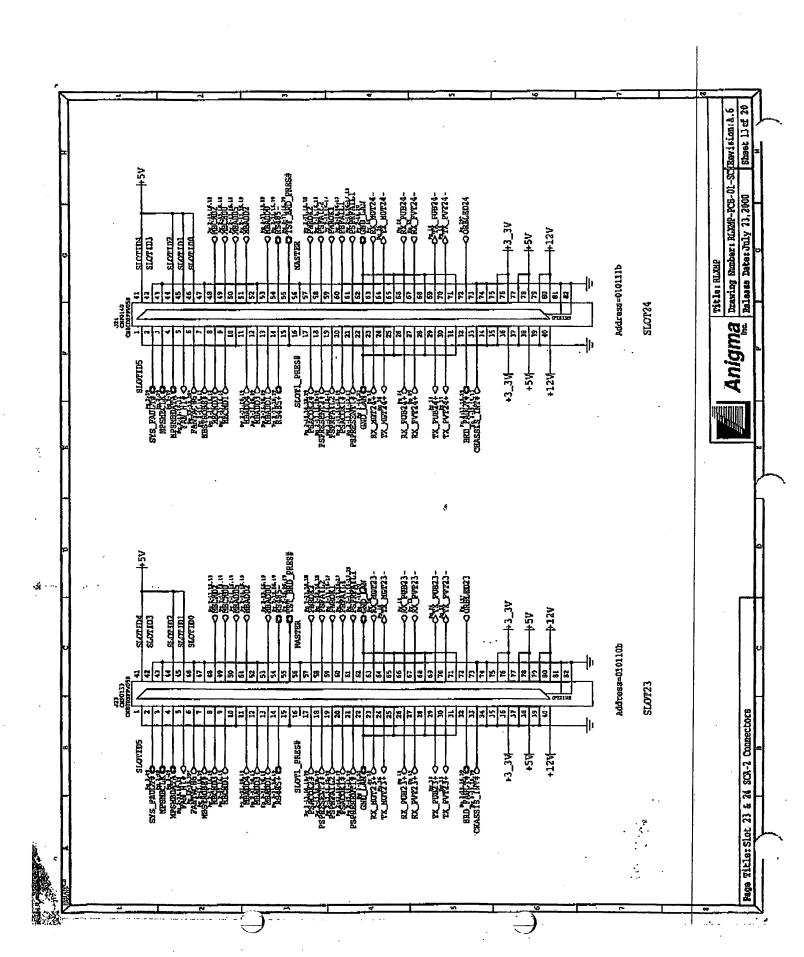


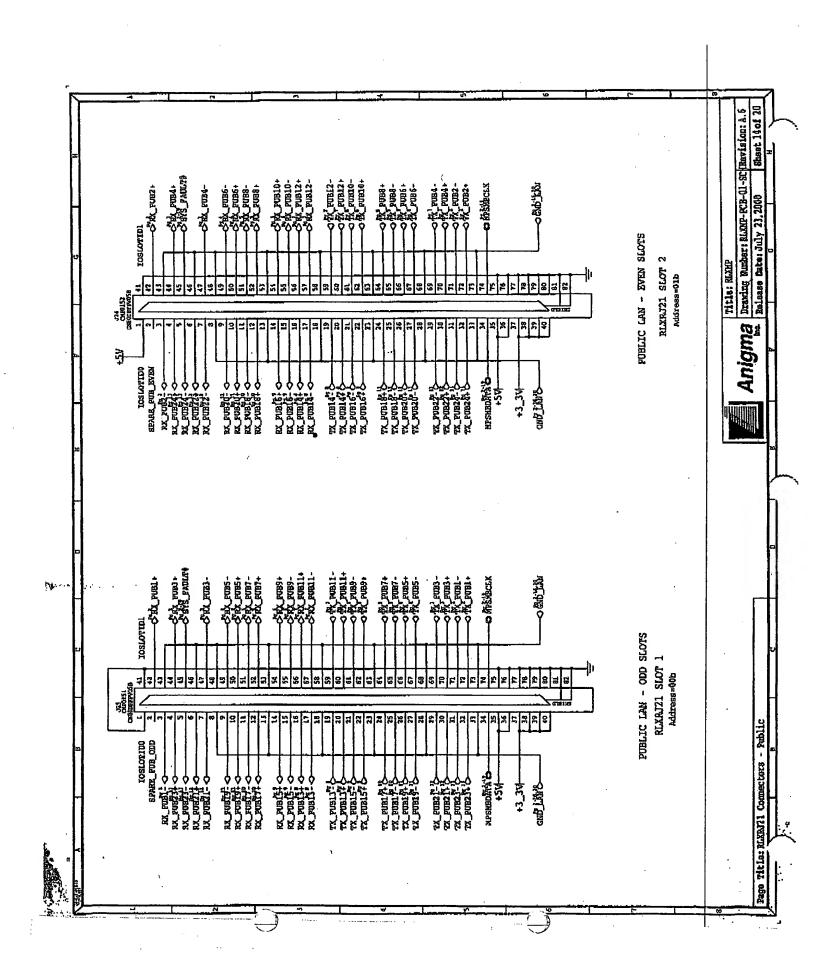


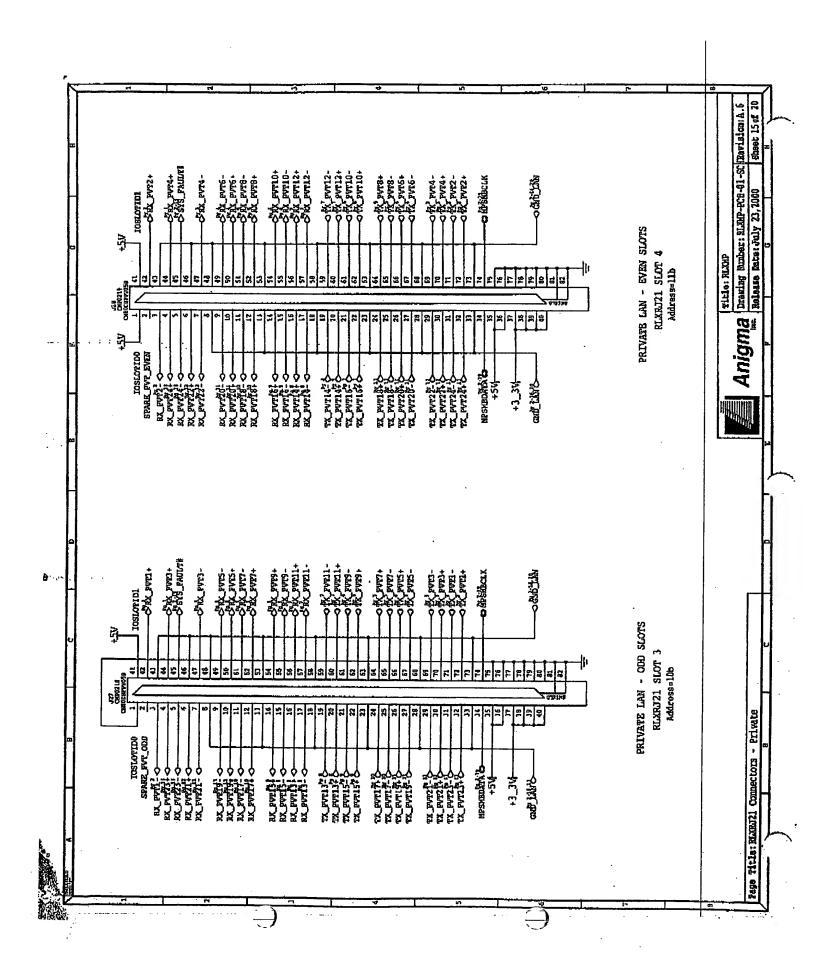


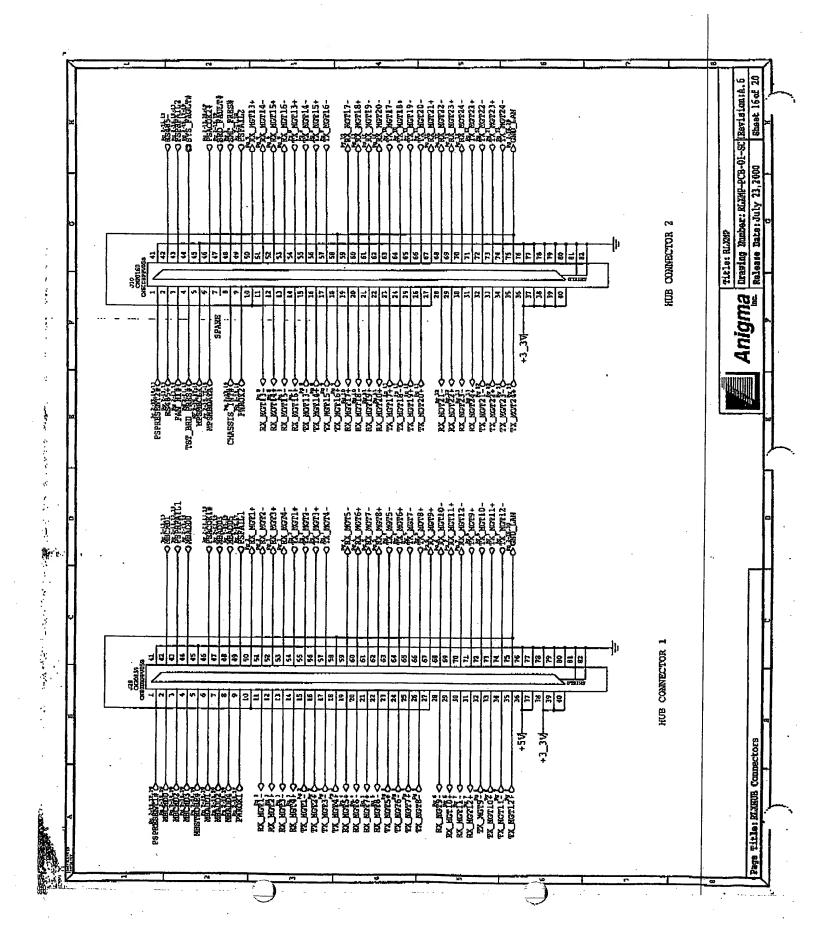


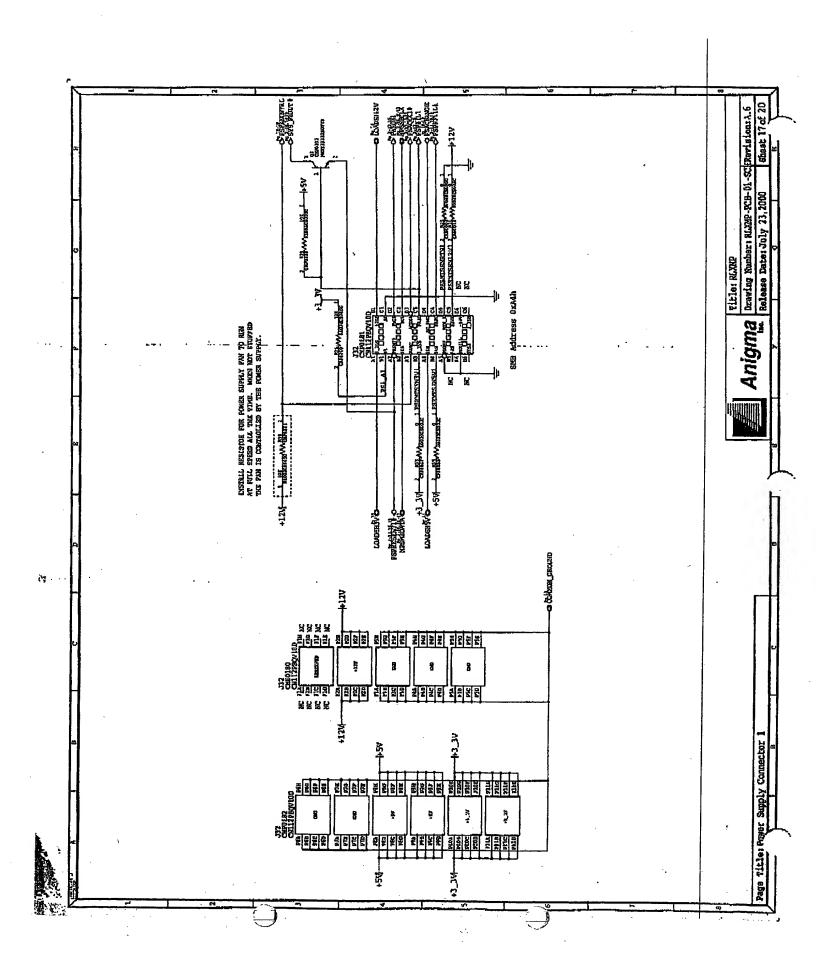


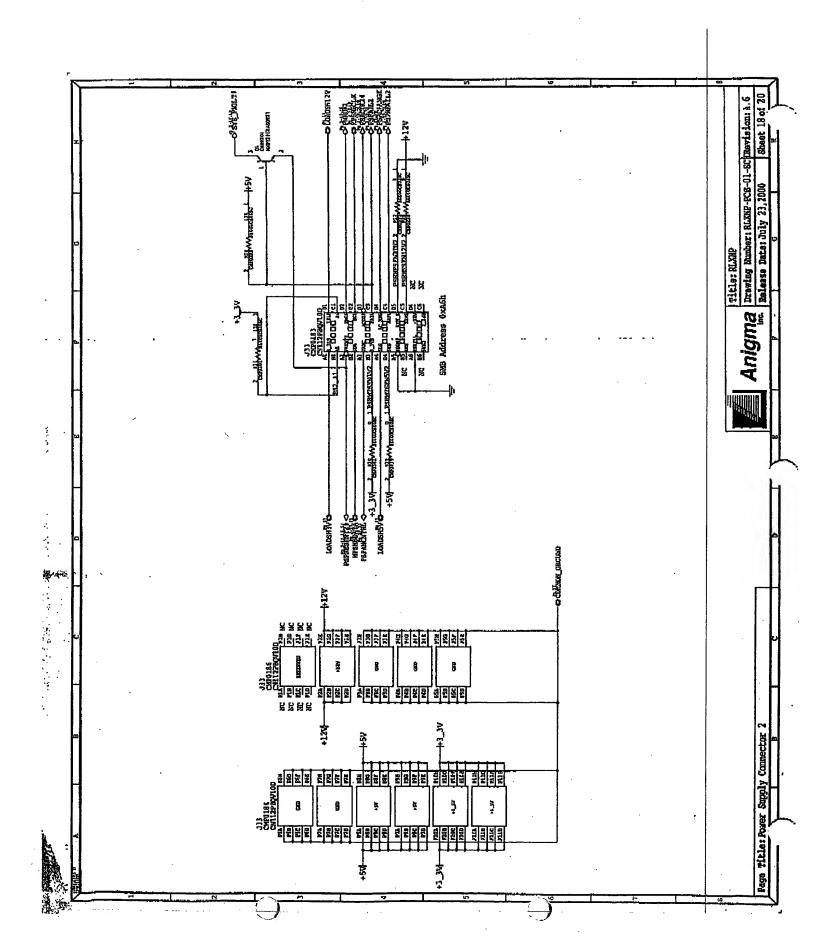


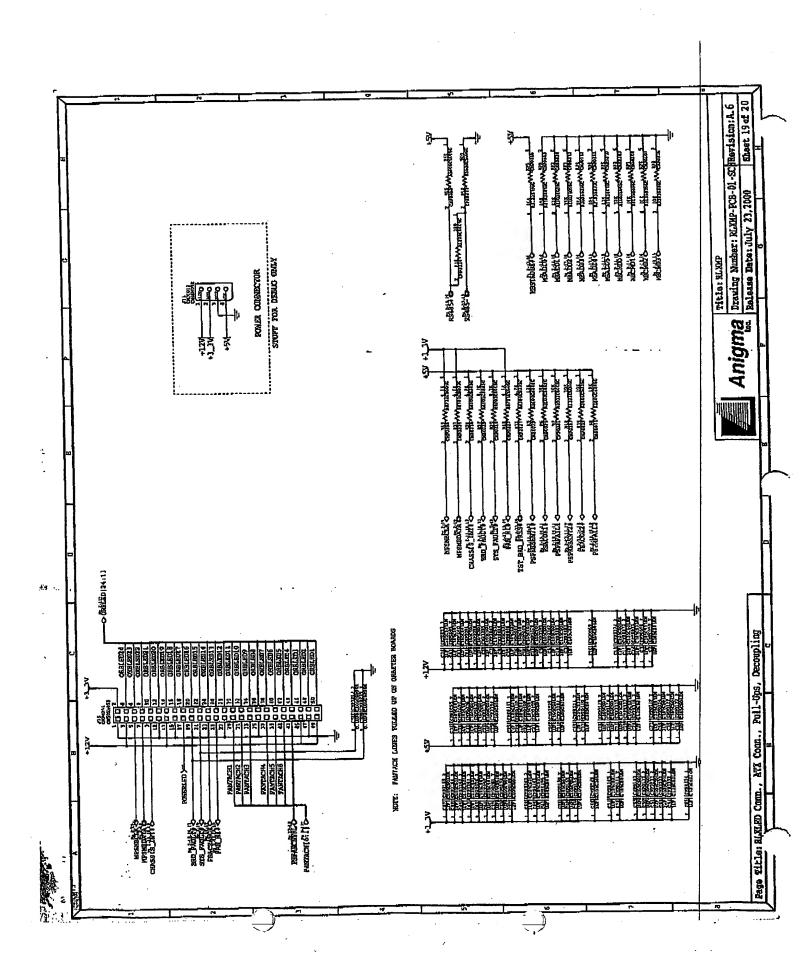


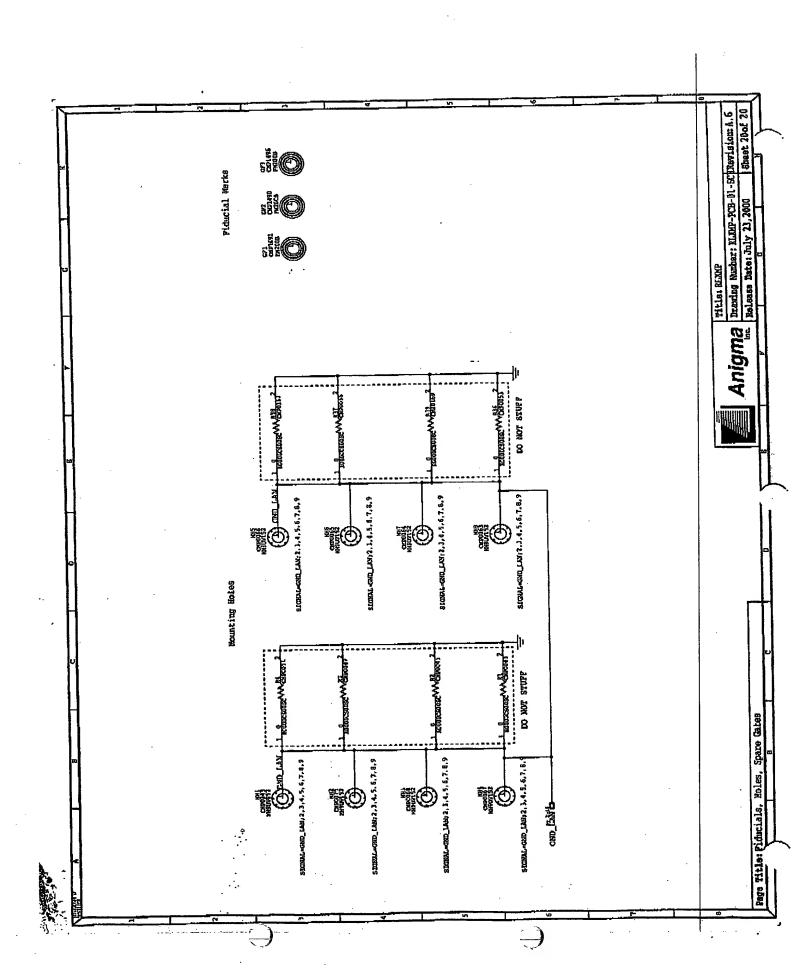












Attorney's Docket: 067856.0213



PATENT 09/848,816

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

IRVING, et al.

Serial No.

09/848,816

Filing Date:

May 4, 2001

Art Group Unit:

2181

Title:

SERVER CHASSIS HARDWARE MASTER SYSTEM

AND METHOD

#### MAIL STOP AMENDMENT

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

.

Dear Sir:

#### INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

PATENT 09/848,816

This Information Disclosure Statement is submitted after the first Office Action, but before the Final Office Action. Pursuant to 1.97(c) and 1.17(p), the stipulated fee of \$180.00 is enclosed herewith. The Commissioner is hereby authorized to charge any additional fee or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P. Attorneys for Applicants

Reg. No. 45,003

Date: 7/19

**CORRESPONDENCE ADDRESS:** 

Customer Number:

05073

Attorney Docket No.:

067856.0213

Page	•	_	c	4

PTO-1449		Application No. <b>09/848,816</b>		Applicant(s) IRVING, et al						
Information Disclosure Citation In an Application			Docket Number 067856.02	13	Group Art Unit Filin			oate 4, 2001		
		HOV	ייטעע ויח	J.S. PATENT 1	OOCUMI	ENTS				
		DOCUMENT	ADEMAN E	NA	ME	CLAS	S SUBCI	LASS	FILING	DATE
	Α	5,666,485	09/09/1997	Surres	sh et al.	395	185.	01	06/07/	1995
	В									
	С									
	D									
	Е									
	F									
	G			N. The state of th						
	Н									
	I									
Ī	J									
	K									
	L									
	М									
	N									
	0									
	P									
	Q									
			FOI	REIGN PATE	NT DOCU	MENTS				
		DOCUMENT NO.	DATE	cou	NTRY	CLAS	s subci	LASS	TRANSL	
	R								YES	NO_
	S								<u>,</u>	
	<u> </u>							l		
		ļ		ling Author, Title,					DA	ГЕ
	Т	Irving, et al., U.S. Pate Server Chassis Cooling	ent Application g Fans", filed N	No. 09/848,999 I May 4, 2001 (Atto	Entitled: "Somey Dock	ystem and Metho et No. 067856.0	od for Contro 1212)	lling		
	V									
	XAMI					ONSIDERED				
EX	AMIN	ER: Initial if citation considered. Include copy of this form with	ed, whether or not c	citation is in conforma	nce with MPI	EP § 609. Draw line	through citation	if not in	conformanc	e and not
U.	S. PAT	ENT AND TRADEMARK O	FFICE	mon to the applicant.						



e "Received" stamp of the Patent and Tradema	Total Pages			Total Pages
New Appln.; C.P.A; Con.; CIP.; Div;		Mo. Ex	tension of Time	
Provisional; Converted Provisional		☐ Notice of A	ppeal	
(Specification, Claims, Abstract)	·	☐ Appeal Brid	ef	
Bibliographic Data Sheet		☐ Issue Fee ]	ransmittal .	
☐ Declaration/power of Attorney		Chack No.	120 565 Am	1: \$ 180.00
3 Assignment				
☐ Verified Statement		Check No.		
☐ Formal Drawing			09/848,81	16 13000
☐ Informal Drawings	<del></del>	(4) Other ID	5 0/ PTO 14	47 (2/45)
☐ Amendment/Response		Modies D	c 2 seteren	es attache
Name of Inventor(s): TRVING, Title of Invention: SERVER CHASSIS SUSTEM AND METHOD	et al. HARDWAR	E MASTER	Ó	IPE
Client or Applicant:	BB File No.: 007856.	0213	APR	1 5 2004
Mailed: 4·15-04 <	Certificate of Maili Express Mail	172	W. C. Till	ADENIE CHOCK
√ o :	Express Mail Receipt No. £V 3	24645030	K5	
Due:	Certificate Of Mail	ina		
J 1	First Class Mail	g 		
Atty/Secy: Pellissen/Rochet		П	1	
1). 1	Hand Delivered		I	

RECEIVED

APR 2 3 2004

NOW O TOOK IN T

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

IRVING, et al.

Serial No.

09/848,816

Filing Date:

May 4, 2001

Art Group Unit:

2181

Title:

SERVER CHASSIS HARDWARE MASTER SYSTEM

AND METHOD

MAIL STOP

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

EXPRESS MAIL CERTIFICATE
NO.: EV 324645030 US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name:

Quincy Chambers

Date:

4-15-04

SU0E054945EV3

## INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.



This Information Disclosure Statement is submitted after the first Office Action, but before the Final Office Action. Pursuant to 1.97(c) and 1.17(p), the stipulated fee of \$180.00 is enclosed herewith. The Commissioner is hereby authorized to charge any additional fee or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P. Attorneys for Applicants

Luke K. Pedersen Reg. No. 45,003

Date:

Date: 7/13/09

Correspondence Address: 2001 Ross Avenue, Suite 600 Dallas, Texas 75201 214-953-6655

Customer Number:

05073

Attorney Docket No.:

067856.0213

PTO-1449			Application No. 09/848,816			Applicant(s) IRVING, et al				
Information Disclosures Citation In an Application			on S	Docket Number 067856.0213	Group Art U 2181	Group Art Unit Filing Date May 4, 2				
		BIENT O THA	OFMERS. (	J.S. PATENT DOCUME	NTS					
		DOCUMENT NO.	DATE	NAME	CL	ASS	SUBCLA	ss 1	FILING	G DATE
	A	5,546,272	08/13/1996	Moss et al.	30	51	687		01/18	/1995
	В	6,257,832	07/10/2001	Lyszkowski et al.	41	7	2		02/04	/1999
	С									
	D					-				
	Е									
	F						<u> </u>			<del>-</del>
	G						<del></del>			
	Н									
	Ι.									
	J									
	K									
	L					,				
	M								-	
	N									
	0									
	P									
	Q									=
			FOR	EIGN PATENT DOCU	MENTS					
···		DOCUMENT NO.	DATE	COUNTRY	CLA	ss	SUBCLAS		RANSL	ATION
	R							7	/ES	NO
	S						_			
	<u>                                     </u>									
		DOC	UMENT (Includi	ng Author, Title, Source, and	Pertinent Pag	es)			DA	ГЕ
	T U									
	V									
	AMI			DATE CO						
COL	sidered.	Include copy of this form wit	h next communicati	ation is in conformance with MPEP on to the applicant.	§ 609. Draw lin	e throug	gh citation if no	ot in conf	formance	and not
U.S	. PATE	ENT AND TRADEMARK OF	FFICE							



The "Received" stamp of the Patent and Trad		nereon acknowled	lges the filing of:	
□ New Appln.; C.P.A; Con.; CIP.; D. Provisional; Converted Provisional (Specification, Claims, Abstract)     □ Bibliographic Data Sheet     □ Declaration/power of Attorney     □ Assignment     □ Verified Statement     □ Formal Drawing     □ Informal Drawings	Total Pages	☐ Notice of ☐ Appeal Br ☐ Issue Fee ☐ Check No. ☐ Check No.	lef Transmittal <u>126243</u> Amt.:	Total Pages
Name of Inventor(s): TRUING, etc.	2l.	Copies of se	5 W/PTD 1449 1	3 pg 3) bound) Serial No:
;	BB File No.:  OG78 56. 0 7  Certificate of Mailing  Express Mail	2/3	O 1 P & MAR 2 2 20	N 2011
tty/Secy:	Receipt No.: EU 324 Certificate Of Mailing First Class Mail  Hand Delivered	1646/55 W	SERROEMAN	159

# RECEIVED

MAR 3 0 2004

Attorney's Docker 0 067856.0213

#### IN THE CHIEF TATES PATENT AND TRADEMARK OFFICE

1

In re Application of:

IRVING, et al.

Serial No.

09/848,816

Filing Date:

May 4, 2001

Art Group Unit:

2181

Title:

SERVER CHASSIS HARDWARE MASTER SYSTEM

AND METHOD

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

EXPRESS MAIL CERTIFICATE NO.: EV 324646755 US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name:

Date:

he ores

3-22-04

EV324646755US

### INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

PATENT 09/848,816

This Information Disclosure Statement is submitted after the first Office Action, but before the Final Office Action. Pursuant to 1.97(c) and 1.17(p), the stipulated fee of \$180.00 is enclosed herewith. The Commissioner is hereby authorized to charge any additional fee or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicants

Łuke K. Pedersen

Reg. No. 45,003

Date: 3/

Correspondence Address: 2001 Ross Avenue, Suite 600 Dallas, Texas 75201 214-953-6655

Customer Number:

05073

Attorney Docket No.:

067856.0213

Page 1 of 2

PTO-1449

#### **Information Disclosure Citation** In an Application

Application No. 09/848,816

067856.0213

Docket Number

Group Art Unit 2181

Applicant(s)

IRVING, et al

Filing Date May 4, 2001

#### **U.S. PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DAT
A	4,500,821	02/19/1985	Bitting et al	318	254	06/09/1983
В	4,817,865	04/04/1989	Wray	236	49.5	03/17/1988
С	4,964,065	10/16/1990	Hicks et al.	364	514	09/19/01988
D	5,513,361	04/30/1996	Young	395	750	07/25/1994
Е	5,687,079	11/11/1997	Bauer et al.	364	175	06/01/1995
F	5,727,928	03/17/1998	Brown	417	44.11	12/14/1995
G	5,731,954	03/24/1998	Cheon	361	699	08/22/1996
Н	5,805,403	09/08/1998	Chemla	361	103	03/28/1996
I	5,848,282	12/08/1998	Kang	. 395	750.05	01/27/1997
J	6,037,732	03/14/2000	Alfano et al.	318	471	04/21/1998
K	6,065,081	05/16/2000	Stancil	710	104	04/29/1998
L	6,101,459	08/08/2000	Tavallaei et al.	702	132	05/15/1997
M	6,145,098	11/07/2000	Nouri et al.	714	31	10/01/1997
N	6,147,465	11/14/2000	Hollenbeck	318	254	03/25/1999
0	6,172,611	01/09/2001	Hussain et al	340	584	10/19/1998
P	6,204,623	03/20/01	Levy, et al.	318	641	12/17/1998
Q	6,298,376	10/02/2001	Rosner et al.	709	209	03/07/1997

#### FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSL	ATION
						YES	NO
R							
S							
 1	· · · · · · · · · · · · · · · · · · ·			· · · · · · · · · · · · · · · · · · ·			

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
T		
U		
V		

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

PTO-1449

Information Disclosification
In an Application

Application	No.
00/040	140

09/848,816

Applicant(s)
IRVING, et al

Docket Number

067856.0213

Group Art Unit 2181 Filing Date
May 4, 2001

#### **U.S. PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
Α	6,321,175	11/20/2001	Nagaraj	702	132	12/21/1998
В	6,324,571	11/27/2001	Hacheri	709	208	09/21/1998
С	6,392,372	05/21/2002	Mays, II	318	254	03/31/2000
D	6,396,688	05/28/2002	Davies et al.	361	687	03/29/2000
E	6,411,506	06/25/2002	Hipp et al.	361	686	07/20/2000
F	6,459,589	10/01/2002	Manweiler et al.	361	752	03/26/2001
G	6,470,289	10/22/2002	Peters et al.	702	132	08/05/1999
Н	2002/0020755	02/21/2002	Matsushita	236	49.3	06/14/2001
I						
J						
K						
L						
M	· · · · · · · · · · · · · · · · · · ·					
N						
0						
P		<del>                                     </del>				

#### FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	2000M2DIVI IVO		3331,111	02/100		YES	NO
Q							
R							
S							

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE	
T			
U			
V			

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

# **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
☐ BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
Потнер.

# IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.